

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

McGrath et al.

Confirmation No.: 4178

Serial No.: 10/595,654

Group Art Unit: 1745

Filed: May 23, 2007

Examiner: Not Assigned

For: MULTIBLOCK COPOLYMERS CONTAINING HYDROPHILIC-HYDROPHOBIC  
SEGMENTS FOR PROTON EXCHANGE MEMBRANE

Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sirs:

The undersigned respectfully requests a Corrected Filing Receipt for the above-identified patent application. On the issued Filing Receipt, James E. McGrath has been listed twice and Hossein Ghassemi has been omitted. Tom A. Zawodzinski, Jr.'s last name is misspelled and the order of the inventors is incorrect. The requested changes are shown on the attached marked-up copy of the filing receipt. A copy of the signed declaration is also attached. It is requested that the third-listed applicant be corrected to read: **Hossein Ghassemi, Richmond Heights, OH** and that the fourth-listed applicant be corrected to read: **Tom A. Zawodzinski, Jr., Shaker Heights, OH.**

Since this error was due to a Patent and Trademark Office error, no fee is submitted herewith.

Respectfully submitted,



Michael E. Whitham  
Reg. No. 32,635

Whitham, Curtis, Christofferson & Cook, P.C.  
11491 Sunset Hills Road  
Suite 340  
Reston VA 20190  
703-787-9400  
Customer No. 30743



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLMS	IND CLMS
10/595,654	05/23/2007	1745	790	01640439AA	29	5

CONFIRMATION NO. 4178

30743

WHITHAM, CURTIS &amp; CHRISTOFFERSON &amp; COOK, P.C.

11491 SUNSET HILLS ROAD

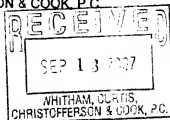
SUITE 340

RESTON, VA 20190

## FILING RECEIPT



\*OC000000025741072\*



Date Mailed: 09/12/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James E. McGrath, Blacksburg, VA;

William Harrison, Riner, VA;

~~Tom A. Wodzinski Jr., Shaker Heights, OH;~~~~James E. McGrath, Blacksburg, VA;~~

Hossein Ghassemi, Richmond Heights, OH;

Tom A. Zawodzinski, Jr., Shaker Heights, OH;

## Power of Attorney:

Charles Whitham-22424

Michael Whitham-32635

Marshall Curtis-33138

Clyde Christofferson-34138

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/38691 11/19/2004

which claims benefit of 60/523,332 11/20/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 09/08/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/595,654**

Projected Publication Date: 12/20/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Multiblock Copolymers Containing Hydrophilic Hydrophobic Segments for Proton Exchange Membrane

**Preliminary Class**

429

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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Docket No.  
01640439AA

## Declaration and Power of Attorney For Patent Application

### English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**MULTIBLOCK COPOLYMERS CONTAINING HYDROPHILIC-HYDROPHOBIC SEGMENTS FOR PROTON EXCHANGE MEMBRANE**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 11/19/2004 as United States Application No. or PCT International Application Number PCT/US2004/038691 and was amended on 02/12/2007

(If applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s)

#### Priority Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

11/20/2003

(Filing Date)

(Filing Date)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

**Pending**

(Status)  
(patented, pending, abandoned)

(Status)  
(patented, pending, abandoned)

(Status)  
(patented, pending, abandoned)

(Status)  
(patented, pending, abandoned)

(Status)  
(patented, pending, abandoned)

(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Michael E. Whitham - Reg. No. 32,635

Marshall M. Curtis - Reg. No. 33,138

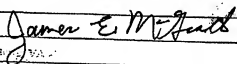
Clyde R. Christofferson - Reg. No. 43,138

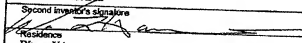
C. Lamont Whitham - Reg. No. 22,424

Send Correspondence to: ASSOCIATE THIS APPLICATION WITH CUSTOMER NUMBER 30743

Direct Telephone Calls to: *(name and telephone number)*

Michael E. Whitham - (703) 787-9400

Full name of sole or first inventor James E. McGrath	
Sole or first inventor's signature 	
Date 5/1/07	
Residence Blacksburg, VA	
Citizenship US	
Post Office Address 902 Elliott Dr., Blacksburg, VA 24060	

Full name of second inventor, if any William Harrison	
Second inventor's signature 	
Date 02/13/2007	
Residence Riner, VA	
Citizenship US	
Post Office Address 1735 Tuckahoe Dr., Riner, VA 24149	

Page 4 of 4

Full name of each inventor, if any Hassan Chassani	
Inventor's signature <i>H. Hassan</i>	Date 05/13/07
Residence Richmond Heights, OH	
City/State Ohio	
Post Office Address 324 Royal Oak Blvd, Richmond Heights, OH 44143	

Full name of each inventor, if any Tom A. Zawadzinski, Jr.	
Inventor's signature <i>Tom A. Zawadzinski, Jr.</i>	Date 5/14/07
Residence Shaker Heights, OH	
City/State Ohio	
Post Office Address 2929 Worthington Rd., Shaker Heights, OH 44120	

Full name of each inventor, if any	
Inventor's signature	Date
Residence	
City/State	
Post Office Address	

Full name of each inventor, if any	
Inventor's signature	Date
Residence	
City/State	
Post Office Address	